



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Huan nan MA ET AL.

Serial No: 10/522,057

Examiner: NOT YET KNOWN

Filing Date: 01/20/2005

Group Art Unit: NOT YET KNOWN

For: **A METHOD FOR PROTECTING HIGH LAYER SERVICE IN MULTI-LAYER COMMUNICATION EQUIPMENT**

INFORMATION DISCLOSURE STATEMENT

Applicant submits this Information Disclosure Statement pursuant to 37 CFR. §1.56 in connection with the above-identified application, which statement is being filed:

☐ Together with the present application.

☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**

☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. **[37 CFR §1.97(c)]**. *(Either the fee or certification is provided, as set forth below).*

☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR §1.97(d)]**. *The fee and certification are provided, as set forth below).*

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☒ No separate requirements are needed.

☒ No additional fee is required.

The cited references were mentioned in the corresponding International Search Report of International Application No. PCT/CN03/00573. A copy of the search report is attached.

Fee Under 37 CFR § 1.97(c) or 1.97(d)

☐ The fee of \$_____ for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) should be charged to Deposit Account No. 04-1679.

Certification Under 37 CFR § 1.97(e)

The undersigned certifies:

☐ 1 that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ 2 that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Provision of Copies of References

☒ Pursuant to the order of the Deputy Commissioner dated July 11, 2003, copies of the U.S. references are not enclosed.

☒ English abstracts of the listed foreign (Chinese) references are provided and includes the English language patent equivalent. Copies of the U.S. equivalent patents, i.e., US5974027 and US6195704 are cited on PTO 1449.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s)._____, filed _____. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679.

Respectfully submitted,

Date:

April 22, 2005

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